



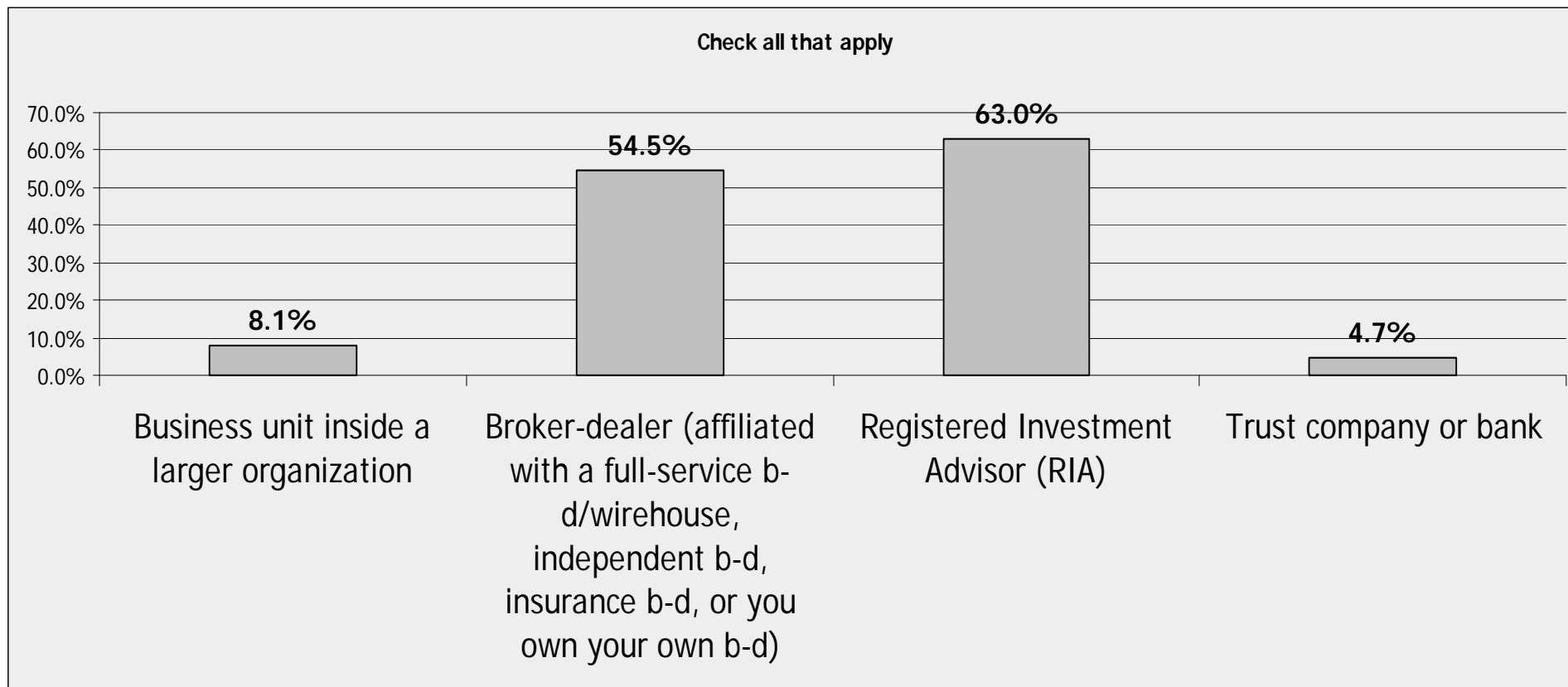
What Advisers Think of 529 Plans

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Available at www.investmentnews.com/529

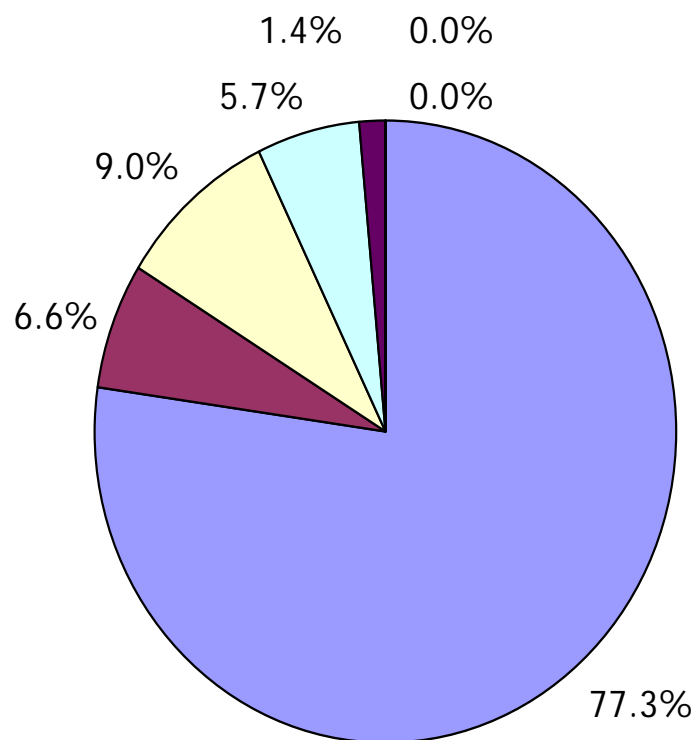
Please indicate all registrations and affiliations for your firm

Check all that apply



Responses: 211

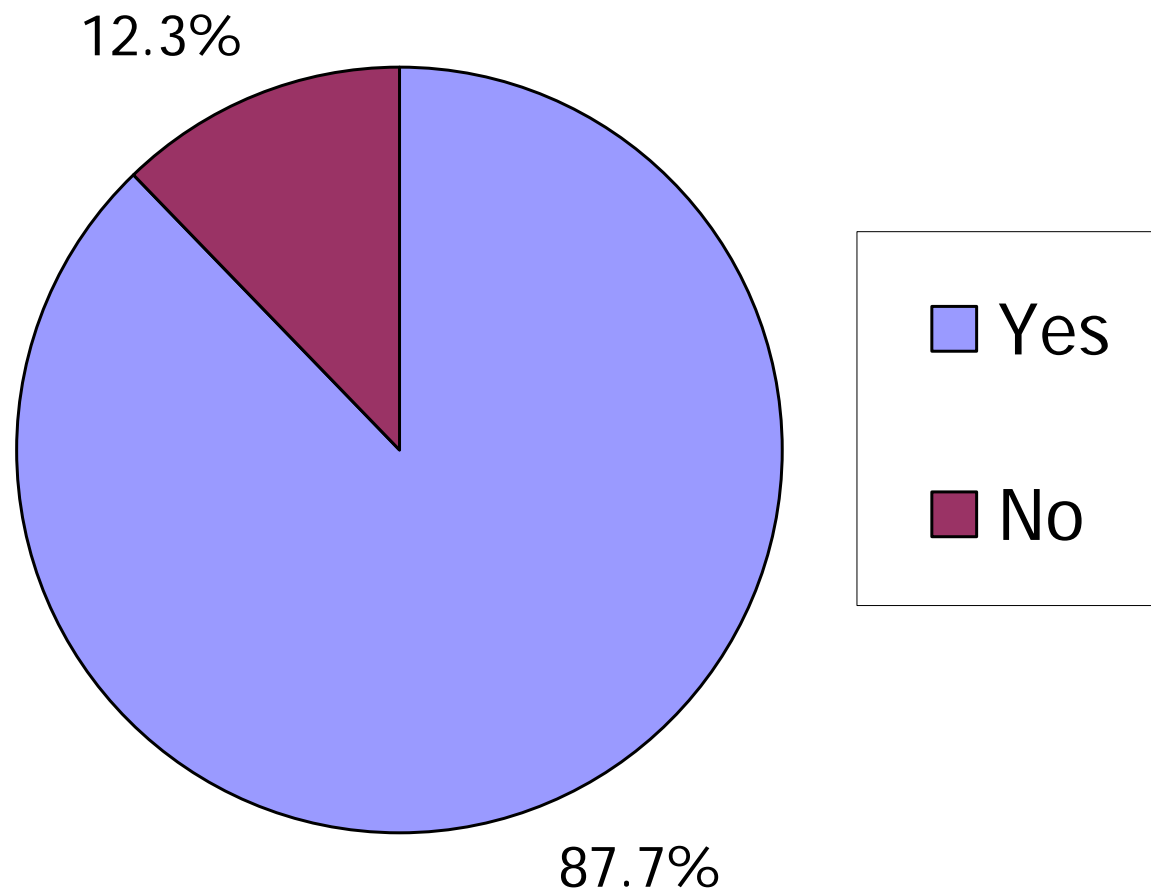
Which of the following best describes your responsibilities?



- Financial planner/investment adviser
- Registered representative/securities broker/branch manager/vice president
- Owner or manager in a financial planning or investment advisory firm
- Wealth manager
- Insurance agent/broker
- CPA
- Bank trust officer

Responses: 211

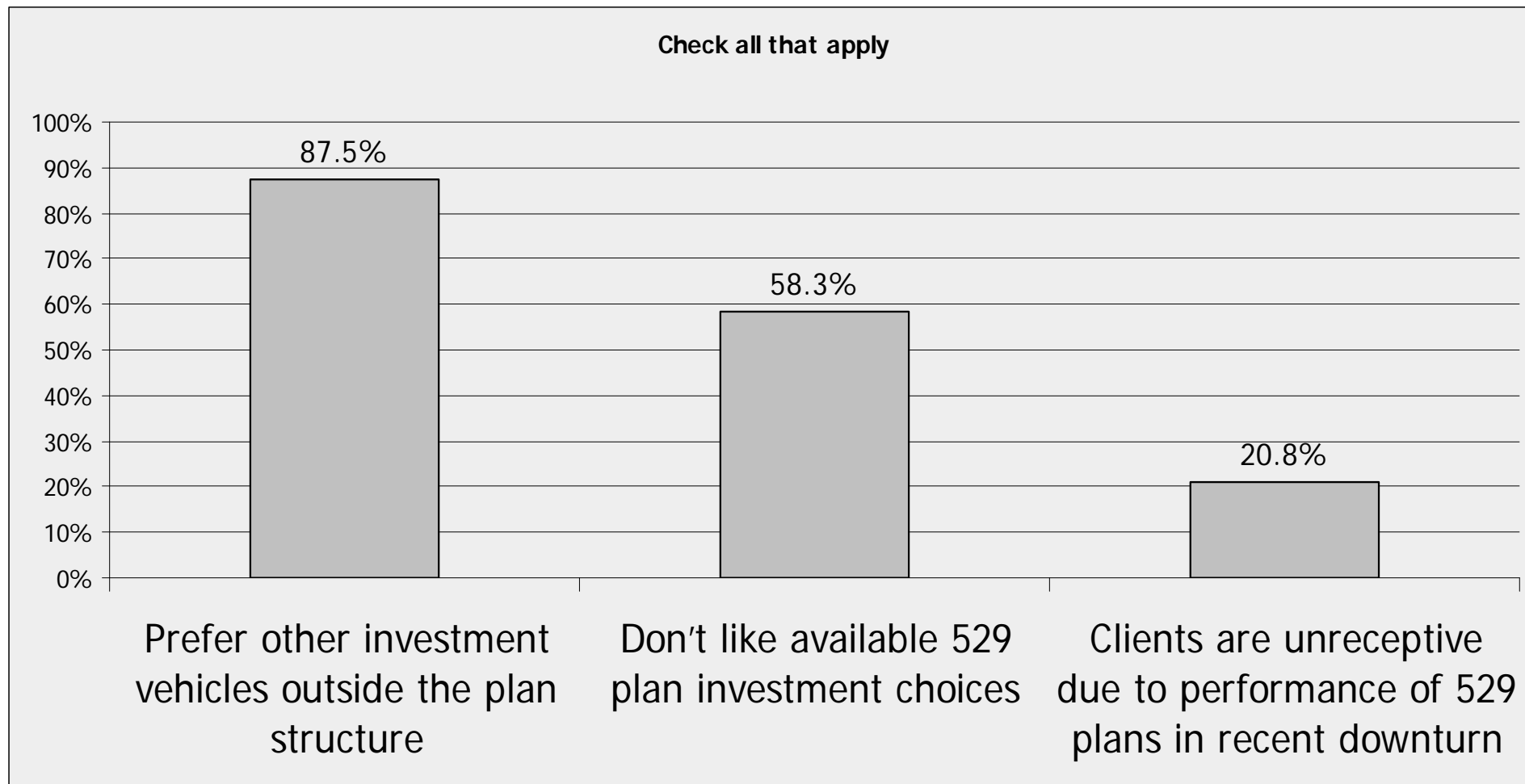
Do you currently recommend 529 plans to your clients?



Responses: 211

Source: *InvestmentNews*

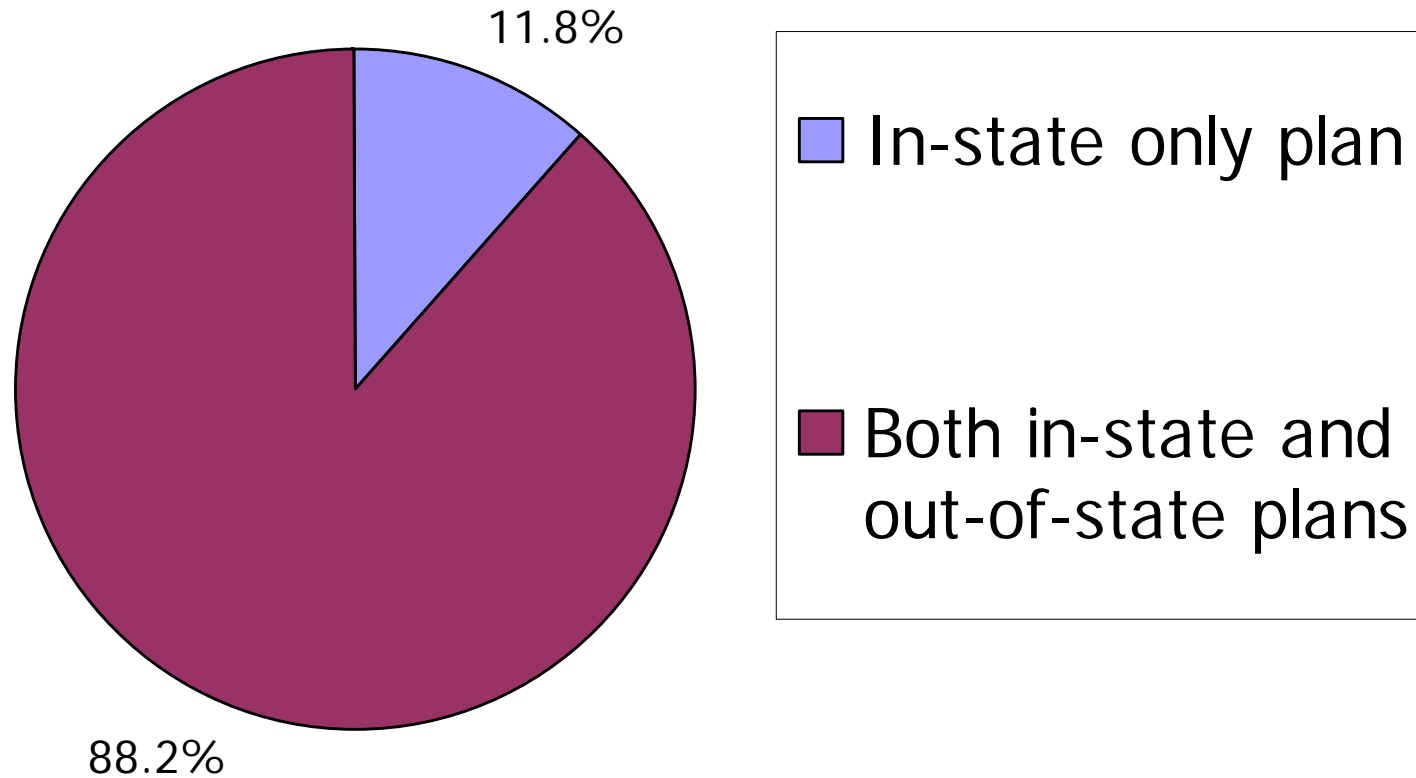
Why do you not recommend 529 plans to your clients?



Responses: 24

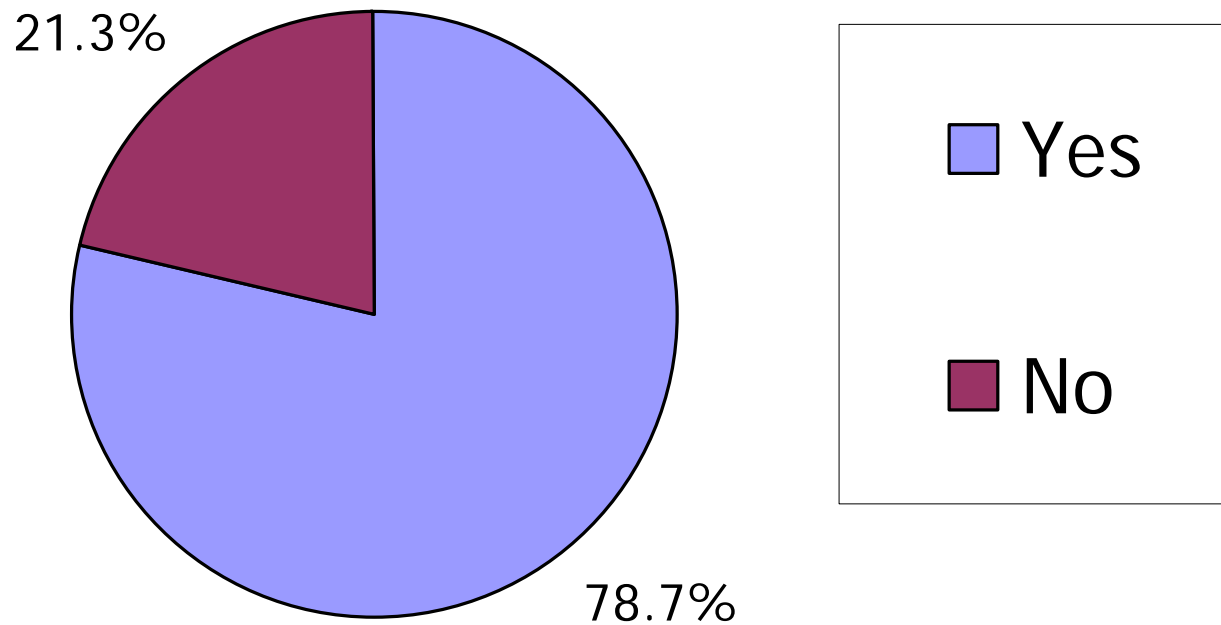
Source: *InvestmentNews*

Which do you recommend, in-state or both in-state and out-of-state 529 plans?



Responses: 186

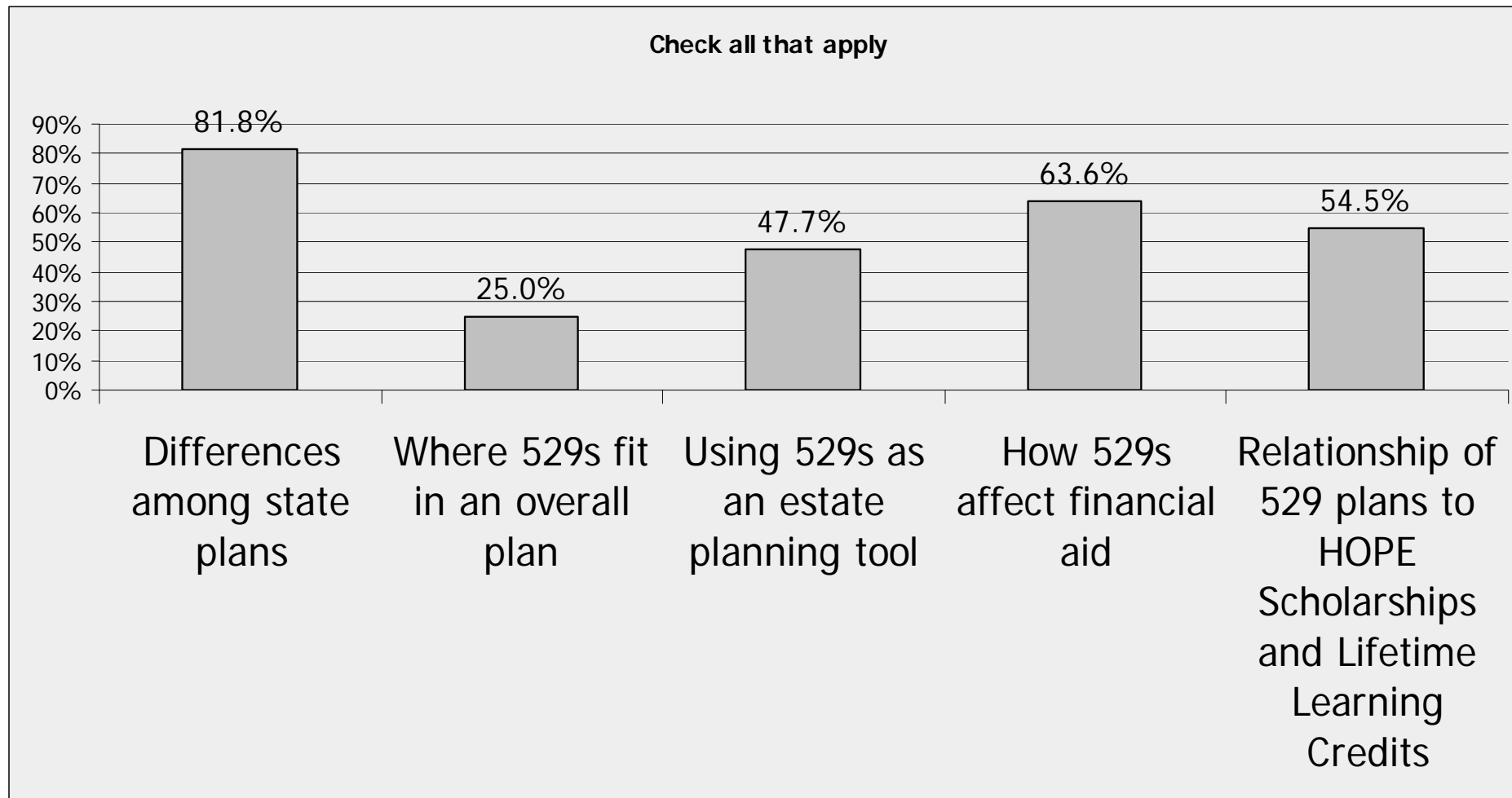
Do you feel as well-informed as you would like to be about 529 plans?



Responses: 207

Source: *InvestmentNews*

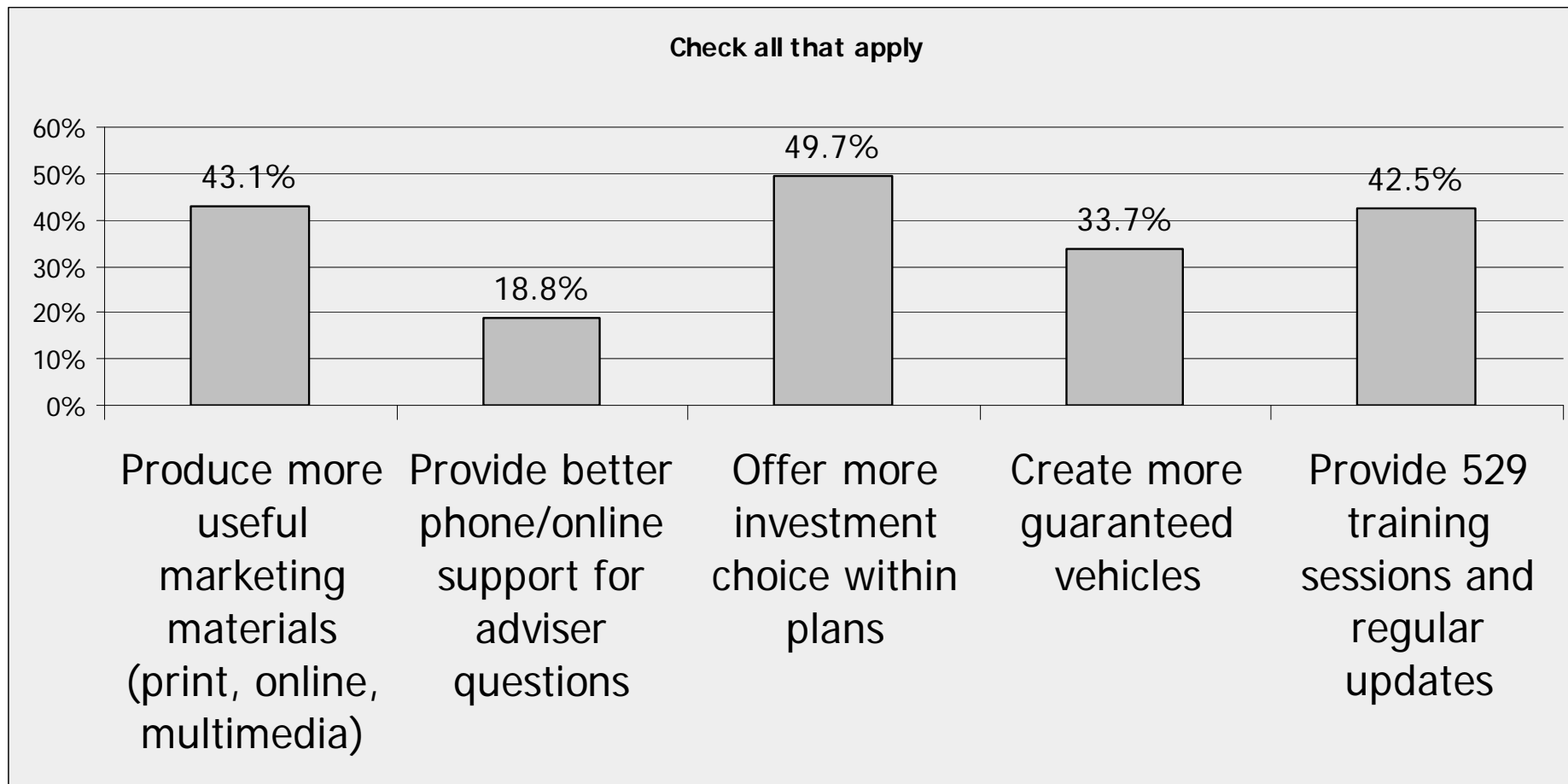
Which of the following would you like to know more about?



Responses: 44

Source: *InvestmentNews*

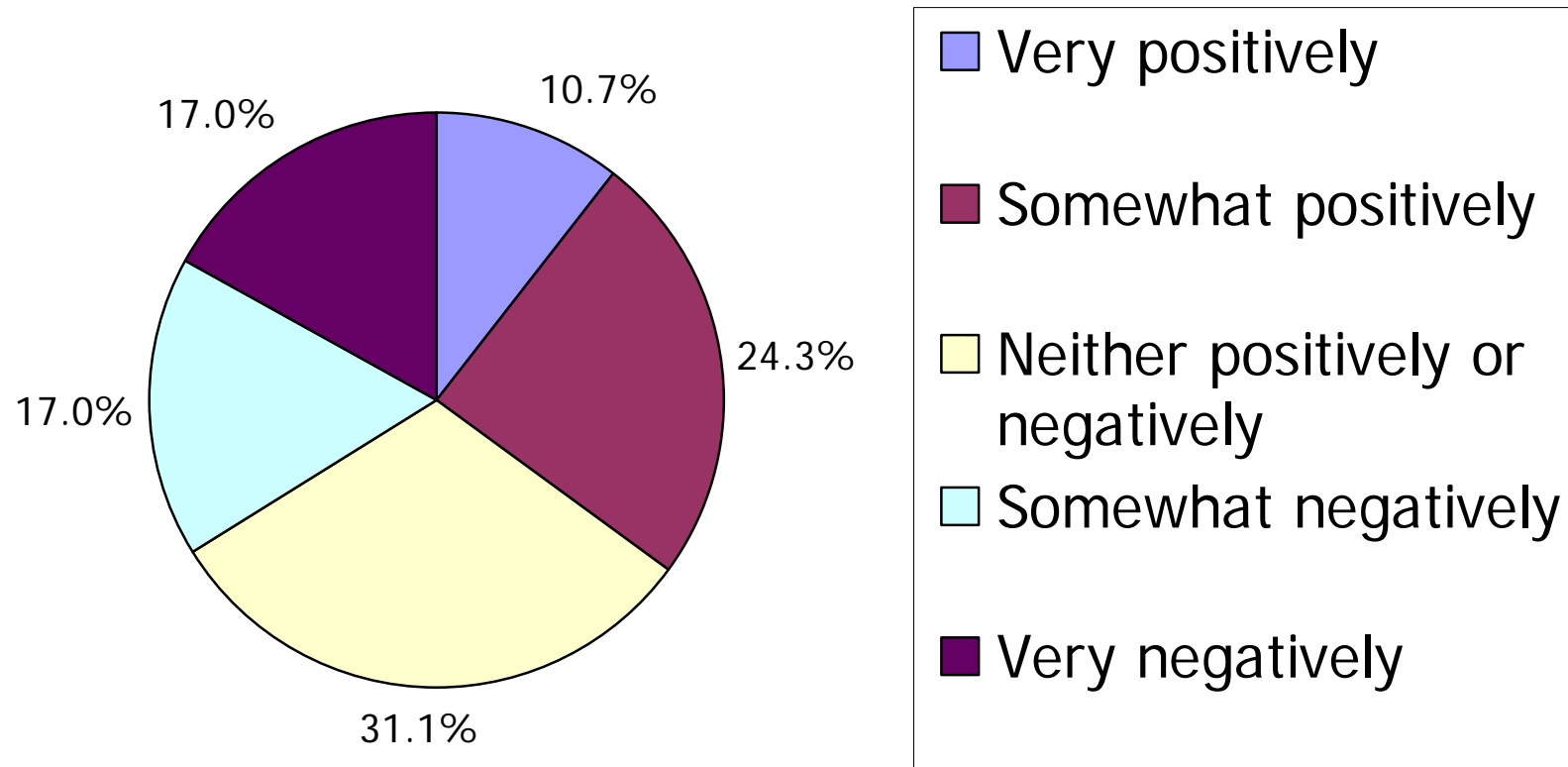
What can program/investment managers do to help advisers better represent 529 plans?



Responses: 181

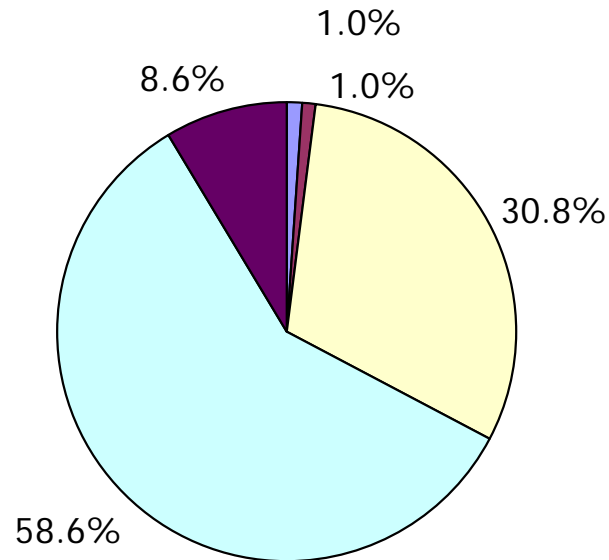
Source: *InvestmentNews*

How would you respond to more active state involvement in the marketing of 529 plans?



Responses: 206

For the majority of your clients who have 529 plans, how did the market downturn affect them?



- Clients pulled out of the program and won't ever return
- Clients pulled out but are waiting to go back in
- Clients stayed in but are very dissatisfied
- Clients stayed in and now accept market volatility
- Clients view the current market as a good time to invest through 529 plans

Responses: 198